

### STATUS OF IMPLEMENTING DEPARTMENT OF AUDIT REPORT RECOMMENDATIONS

**Audit Title:** Milwaukee County Can Benefit from a Contemporary, Comprehensive Workforce Diversity Policy

**File Number:** 14-666/18-136

**Audit Date:** August 2014

**Status Report Date:** February 2018

**Department:** Human Resources

Number & Recommendation	Deadlines Established		Deadlines Achieved		Implementation Status		Auditee Comments
	Yes	No	Yes	No	Completed	Further Action Required	
<p><b>DHR management should build on its current efforts to develop a Diversity Committee mission statement by developing of a comprehensive Milwaukee County workforce diversity policy.</b></p> <p><b>In developing an updated, contemporary workforce diversity policy for Milwaukee County, DHR management should address, at a minimum, the following issues identified in this report:</b></p> <ol style="list-style-type: none"> <li>1. Proper classification of Milwaukee County's positions into appropriate EEO-4 job categories, based on consistent application of criteria established with meaningful input from operations management.</li>   <li>2. Selection of appropriate criteria for evaluating underutilization of minority and women participation in the eight EEO-4 job categories in Milwaukee County's workforce. Three accepted methods were demonstrated in this audit. They are commonly referred to as the:                             <ul style="list-style-type: none"> <li>• Any Difference Rule;</li> <li>• One Whole Person Rule; and</li> <li>• 80% of Availability Rule.</li> </ul> </li> </ol>					X		<p>Owner/s: HR Leadership</p> <p>Owner/s: Compensation and HRIS</p> <p>(1) EEO – 4 codes are updated within the payroll system. In December 2017, a request for further information was sent to a specified list of employees who need to update their ethnicity based on new categories that were added by the government.</p> <p>Owner/s: HRIS</p> <p>(2) The former Benefits Director developed and maintained a quarterly reporting mechanism that indicated women/minority utilization broken down by departments of at least 30 employees, for each EEO-4 job category up until his departure. Until that position is filled, the new Benefits Manager will continue to report out these metrics.</p>

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3. Determination of the appropriate level at which workforce diversity goals should be established and achievement monitored. This could involve viewing the County workforce opportunities to establish meaningful workforce diversity goals across organizational units, rather than relying on traditional departmental structures, regardless of size or workforce composition, establishing individual goals.	X					X	Owner/s: (3) HRIS, Airport Director (4) & (5) HRIS
4. Establishment of a process for developing, refining and updating appropriate Relevant Labor Market data for use in establishing workforce diversity goals and monitoring achievement.					X		(3) – (5) Milwaukee County currently houses clean and accurate data within the HPW system. The EEO indicators in HPW will aid with running reports and assist with workforce planning as needed. Formal goals were to be implemented and monitored in detail upon the hiring of the Diversity & Inclusion Program Manager position in HR, however, due to budget challenges this position was not funded. Milwaukee County's main objective regarding a diverse workforce is to meet and exceed the government workforce benchmark for diversity, however, these objectives continue to meet obstacles due to continuous turnover in leadership roles. Consistent turnover within the director level position makes their primary focus the continued operation of the division. Diversity Goals - GMIA falls underneath the general EEO/Diversity commitment of the County and follows the same diversity goals.
5. Development of strategies flowing from the County's workforce diversity policy to promote operations management's awareness, understanding and application of Milwaukee County workforce diversity principles.					X		

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<p>DHR management should convene a workgroup, including staff from Human Resources, Payroll and Information Technology, to address EEO data collection, maintenance and reporting issues identified in this report.</p> <p>EEO data collection, maintenance and reporting problems surfaced during the County's conversion from its predecessor payroll system, Genesys, to its current Human Resource Information System, Ceridian. Exacerbating these problems were significant staff turnover in key management positions in such areas as Information Technology, Payroll, and Human Resources. A workgroup comprising management from each of those areas should, at a minimum:</p> <p>6. Re-examine the County's process for collecting, maintaining and reporting EEO data with the express purpose of complying with applicable EEO-4 reporting requirements.</p>					X		<p>Owner/s: Payroll, Central HR, Compensation</p> <p>The New Employee Orientation was launched June 2015, and allows employees an opportunity to provide their EEO data to meet reporting requirements.</p>
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7. Ensure compatibility between racial/ethnicity source documents (e.g., 7-category EEO-1 vs. 5-category EEO-4 classification systems). This will also require updating if/when a pending EEOC reporting change is finalized.					X		<p>Owner/s: Central Payroll, Compensation This issue was resolved in 2014-2015</p>
8. Ensure compatibility of data fields and drop-down menus (e.g., current job groups, a sub-set of HPW's EEO-4 job categories, consist of EEO-1 classifications).					X		<p>Owner/s: Compensation, HRIS (7) – (8) The Personnel Action Form (PAF) has been used countywide since 2015.</p>
9. Ensure that, if EEO-1 data is determined to be useful for compensation benchmarking purposes, there is an automated cross-walk that avoids duplicate classification of positions and produces valid, consistent results when queried.					X		<p>Owner/s: HRIS EEO – 4 Classifications are being used consistently to build reports as seen in (2). <b>Data entry access-</b> Data entry access in HR has been limited to improve security and reduce the chance of error. <b>Using Data to monitor diversity</b> – quarterly reports to monitor diversity were up until the departure of the former Director of Benefits. The 2017 annual report will be available in February 2018. <b>Quarterly metrics updates</b> – See above</p>

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<p><b>DHR management should work with management at General Mitchell International Airport to devise a specific strategy to emphasize a commitment to increasing the diversity of the GMIA workforce, in both minority and female categories of employees.</b></p> <p><b>In developing such a strategy, particular attention should be paid to:</b></p> <p>10. Working in a manner consistent with, and in harmony with, the efforts undertaken on a Countywide basis in recommendation No. 5.</p>					X		<p>Owner/s: HRPPs</p> <p>HR developed an initial Diversity &amp; Inclusion strategy, and in both the 2016 and 2017 budgets HR requested approval to add a dedicated resource focused on Diversity &amp; Inclusion at the County to implement it. These requests were not approved by the County Board for budgetary reasons. Should such a resource be approved in a future budget, we would be positioned to broaden our Diversity &amp; Inclusion strategy. Despite limited resources, HR expanded its Diversity recruitment efforts by expanding its job posting to veteran and non profit organizations. The HR Business Partners will be working with the new Airport Director in reviewing workforce diversity and developing new strategies to attract, retain, develop and retain diverse talent at the Airport. Over the past 12 months, HR has been closely engaged in the interviewing and selection process, to ensure behavioral-based interviews are conducted and hiring decisions are made in a consistent manner. In addition, HR established a 2018 initiative to collaborate closely with management at General Mitchell International Airport to devise strategies to increase employment diversity, particularly in the hiring of women and minorities.</p> <p><b>Interviewing-</b> HR has continued to train and coach management to conduct behavioral based interviews, including documentation of interviews and hiring decisions. General Mitchell International Airport management also participated in a class called Interviewing methodology which was led by the Employee Relations Director starting in 2015.</p>
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11. Maintaining and retaining additional detail documenting steps within the hiring process at GMIA (e.g., who was contacted for an interview, questions asked during interviews, etc.).					X		<p>Owners/s: DOT Management HRBPs</p> <p><b>Interview Documentation</b> - Information about who applied, qualified for the position and who is contacted for interviews is tracked in the LMS system. Managers currently maintain files with their interviewing documents that include questions, answer key, scores and notes of each manager on the interviewing panel. Beginning February 2018 HR will require all interview documents - including questions, scoring sheets and any supporting notes - be given to HR and filed within the HR department. This will be for all full-time regular positions. This will not include seasonal or temporary assignment positions.</p>
12. Assigning responsibility at DHR for monitoring GMIA promotions and terminations for racial/ethnicity and gender disparities, and to discuss the results of such monitoring with GMIA management on an annual basis.					X		<p>Owners/s: DOT Management HRBPs</p> <p>The designated Human Resources Business Partners for GMIA are assigned the responsibilities for monitoring promotions and terminations for racial / ethnicity and gender disparities and are reporting the results on an annual basis. As of this Quarter 2016 and 2017 have been reported to management.</p> <p align="right">The LEAD program designed in 2014 and implemented in 2015 &amp; 2016 was not offered in 2017 due to resource limitations within HR. It is our hope to revive the program once our resources can be aligned to this initiative. HR has offered Unconscious Bias training to managers who participate in the Leadership Excellence Program.</p> <p>The behavioral based interviewing methodology is</p>

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							currently being utilized across DOT, including GMIA, with success. The Employee Relations Director was coaching the GMIA Director and closely monitoring the hiring and termination practices at the Airport; however, he resigned mid-2017. The new Employee Relations Director, once hired, will continue with this practice, along with the HR team that supports GMIA.