From the Director, Department of Human Resources, submitting proposed revisions to the reporting requirements of Milwaukee County Code of General Ordinances Chapter 17 to preserve Supervisor oversight while reducing obligations of the Committee on Finance, Personnel and Audit.

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AN ORDINANCE

WHEREAS, Chapter 17 of the Milwaukee County Code of General Ordinances
 (MCGO) provides for a number of monthly or quarterly reports to the (former) Personnel
 Committee regarding:

9 Reclassification of existing positions (MCGO 17.05) • Reallocation of existing positions (MCGO 17.055) 10 • Temporary Assignments to Higher Classification (TAHC) (MCGO 17.085) 11 • Appointments at an advanced step of the pay range (MCGO 17.09) 12 • Advancements within a pay range (MCGO 17.10) 13 Overtime paid (non-reps only – quarterly report) (MCGO 17.16) 14 • Dual Employment (MCGO 17.23) 15 Revisions to Executive Compensation Plan (MCGO 17.265); 16 17 And. 18 WHEREAS, these mandatory reports have been presented under 3 or 4 agenda items each committee cycle for many years and are presented primarily for informational purposes, 19 20 although there are provisions whereby Board Supervisors may act to stop certain 21 advancements within the pay range, reclassifications, and reallocations; and

WHEREAS, while these reports help to keep Board members aware of position actions, preserve transparency in a civil service environment, and can be indicative of broader trends in public sector human resources management, these reports often generate little or no debate at the Committee level and may not be an efficient use of Committee or staff time; and

WHEREAS, the revisions to Chapter 17 recommended herein include the followingprovisions:

- 29 1. All supervisors are copied on all mandatory reports
- 30 2. The Committee Chair maintains the discretion to schedule any report
- Supervisors may still object to reclassifications, reallocations, and advancements
 within the pay range, thereby automatically placing those items on the Committee's
 agenda for action
- 34 4. All reports continue as public records, preserving transparency;

35 Now, therefore,

36 BE IT RESOLVED, that the Milwaukee County Board of Supervisors hereby amends 37 various Sections of Chapter 17 of the Milwaukee County Code of General Ordinances 38 relating to the reporting requirements of the Director of Human Resources by adopting the 39 following,

- 40 The County Board of Supervisors of the County of Milwaukee does ordain as follows:
- 41 **SECTION 1.** Section 17.05 (2)(c) (7) of the General Ordinances of Milwaukee County is 42 amended as follows:

43 **17.05.** - Determination of appropriate classification of position.

The following procedure shall be utilized to ensure that all county positions are appropriately classified based upon the duties assigned to and performed by the incumbents of the position:

- 46 ...
- 47 (2) *Reclassification of existing positions.* A department head, appointing authority or bargaining
 48 unit representative authorized by contract may ensure that an existing position is appropriately
 49 classified by:
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- (c) All reclassification studies shall also be subject to the following:
- 52(1) The director of human resources shall have the authority to administratively add or53delete title codes and classifications from the listing of authorized classifications.
- 54(2) The director of human resources shall have the authority to initiate a review of the55classification of any authorized position if he/she feels such a review is appropriate.
- 56 (3) After adoption of the annual budget no departmental appropriation shall be increased because of the findings of a reclassification review unless a fund transfer is 57 approved by the county board subject to county executive review. The director of 58 59 human resources shall have the responsibility and the authority to reclassify any position to an existing or new classification providing that the department of 60 administration has certified that sufficient funds are available within the then existing 61 appropriation of the department within which the position is being reclassified. In the 62 event sufficient funds are not available to cover the cost of reclassifying an existing 63 position, it shall be the department head's responsibility to submit a request for fund 64 transfer. In the event the fund transfer is not approved, the department head shall 65 restructure the duties of the position to ensure that the existing classification is 66 67 appropriate. On a monthly basis, the department of administration shall be provided with a copy of the reclassification request and a report from the department of human 68 resources which includes the existing and new hourly salary rate for each reclassified 69 70 position.
- 71(4) An employee who holds a position which is reclassified to a higher pay range shall72receive an increase to the next rate in the new pay range which is higher than the rate73of pay received in the old pay range or as otherwise approved by the committee on

74 personnel subject to county board and county executive action.

(5) A vacant position reclassified to a classification in a lower pay range shall be implemented the first day of the first pay period following the meeting of the committee on personnel in the event no action was taken on the specific recommendation contained in the informational report submitted to committee by the director of human resources. When a filled position is reclassified to a classification in a lower pay range, the incumbent shall be placed on the layoff/recall list for an indefinite time period, without bumping rights, for the higher classification or a comparable classification if the classification is unique and the reclassification shall not be implemented until the position becomes vacant or the incumbent is relocated. In the event the incumbent refuses an offer to be relocated, the position shall be reclassified to the classification in the lower pay range the first day of the first pay period following his/her refusal to be relocated.

- 87 (6) The effective date of a reclassification shall determine the date upon which an
 88 employee who occupied the position shall become eligible to receive salary increments
 89 until the maximum rate of pay for the range is achieved.
- 90 (7) Monthly while a reclassification is pending, the director of human resources shall 91 provide a report to the committee on personnel to all county board supervisors no later than 14 days prior to the finance, personnel and audit committee meeting which lists all 92 position reclassifications which the director intends to approve, along with a fiscal note 93 for each. This report shall be distributed to all county supervisors and may, at the 94 95 discretion of the committee chair, be placed on the committee agenda for informational purposes. If a county supervisor notifies the committee chair of an objectionobjects to 96 the decision of the director within seven (7) working days of receiving this report prior to 97 98 the meeting establishing the committee agenda, the reclassification shall be held in 99 abevance until resolved by the county board, upon recommendation of the committee, and subsequent county executive action. If no county supervisor objects, the 100 101 reclassification shall be implemented the first day of the first pay period following the 102 meeting of the committee and in compliance with collective bargaining agreements. In the event the county board takes no action on a reclassification, after receipt of a 103 104 recommendation from the committee, the reclassification shall be implemented the first day of the first pay period following action by the county executive or, in the event of a 105 veto, final county board action and in compliance with collective bargaining agreements. 106
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SECTION 2. Section 17.055 (4) of the General Ordinances of Milwaukee County is amendedas follows:

110 **17.055.** - Reallocation of existing nonrepresented classifications, with the exception of elected officials and constitutional officers.

Whenever labor market conditions or other factors indicate that compensation for existing classifications is not sufficient to recruit and retain qualified employes a department head or appointing authority may request the director of human resources to review the compensation provided for the classification: 116 ...

Monthly while a reallocation is pending, the director of human resources shall provide a 117 (4) report to the committee on personnel to all county board supervisors no later than 14 days prior to 118 the finance, personnel and audit committee meeting which lists all classification reallocations 119 which the director intends to approve, along with the fiscal note for each. This report shall be 120 distributed to all county supervisors and may, at the discretion of the committee chair, be placed 121 on the committee agenda for informational purposes. If a county supervisor notifies the committee 122 chair of an objectionobjects to the decision of the director prior to the meeting establishing the 123 committee agenda, within seven (7) working days of receiving this report the reallocation shall be 124 held in abeyance until resolved by the county board, upon recommendation of the committee, and 125 126 subsequent county executive action. If no county supervisor objects, the reallocation shall be implemented the first day of the first pay period following the meeting of the committee. In the 127 128 event the county board takes no action on a reallocation, after receipt of a recommendation from 129 the committee, the reallocation shall be implemented the first day of the first pay period following action by the county executive or, in the event of a veto, final county board action. 130

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- SECTION 3. Section 17.085 of the General Ordinances of Milwaukee County is amended asfollows:

134 **17.085.** - Temporary assignment to a higher classification.

135 Nonrepresented employes may be assigned to perform the duties of a position in a higher classification 136 and shall be paid as though promoted to the higher classification under the following conditions:

- (1) If a position in the classified service is permanently vacant and a certification request has
 been forwarded to the department of human resources and approved for filling by the department
 of administrative services, the position may be filled by a temporary assignment for ninety (90)
 days or less. Extensions beyond ninety (90) days must be approved by the director of human
 resources.
- (2) If a position is temporarily vacant, the position may filled by a temporary assignment for
 ninety (90) days or less. Extensions beyond the ninety (90) days must be approved by the director
 of human resources.
- (3) Employes on a temporary assignment shall receive the higher rate of pay if the assignment
 includes all duties of the higher level position and such duties are performed for at least three (3)
 consecutive scheduled working days. However, a department head, deputy department head,
 associate department head or immediate assistant department head shall not be eligible to
 receive the higher rate of pay if the assignment lasts less than six (6) weeks.
- 150 (4) This section shall not apply to positions represented by certified bargaining representatives.

151 (5) The provisions of this section in subsections (1) and (2) above may be waived, for good 152 reason, only upon approval of the county board. The decision of the county board on the 153 committee on personnel recommendation, subject to review by the county executive, shall be final 154 and shall be effective the pay period after the resolution adopted by the county board is approved 155 by the county executive. 156 (6) All resolutions and ordinances relating to temporary assignments to a higher classification 157 adopted by the county board prior to the adoption of this section are hereby repealed.

(7) Employees in the classified and unclassified service may receive a temporary assignment to
 a vacant unclassified position for ninety (90) days or less. The director of human resources may
 approve one (1) extension of ninety (90) days or less. Any further extensions must be approved by
 the county board.

(8) A report listing all temporary assignments to a higher classification, including extensions
 granted under subsections (1), (2), and (5) above, -shall be prepared by the department of human
 resources and shall be forwarded to the committeeall county board supervisors monthly for
 informational purposes and may be placed on the finance, personnel and audit committee agenda,
 at the discretion of the committee chair.

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SECTION 4. Section 17.09 of the General Ordinances of Milwaukee County is amended asfollows:

170 **17.09. - Salary rate on new appointments.**

Appointments to newly created or vacant positions in pay ranges shall be made at the first step of the range except as follows, unless otherwise specified in a collective bargaining agreement:

(1) When an employee is promoted from one classification to another he/she shall be placed in
 that step of the new range immediately above the compensation he/she is receiving at the date of
 promotion without further review.

A department head may appoint an individual whose training and experience exceed the
 minimum qualifications to a non-ECP position at a rate of pay higher than the first step of the pay
 range which shall be effective immediately upon appointment.

(3) The director of human resources shall provide a monthly report to the personnel committee
 to all county board supervisors no later than 14 days prior to the finance, personnel and audit
 committee meeting which lists all new appointments at an advanced step of the pay range, along
 with a fiscal note for each. This report shall be distributed to all county supervisors and may, at the
 discretion of the committee chair, be placed on the personnel committee agenda for informational
 purposes.

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186 SECTION 5. Section 17.10 of the General Ordinances of Milwaukee County is amended as187 follows:

188 **17.10. - Advancement within a pay range.**

The incumbent of a position shall be advanced to the next highest rate of pay in the pay range provided for the classification only upon meritorious completion of two thousand eighty (2,080) straight time hours paid. Deviation from this requirement is permissible under the following conditions:

192 (1) A department head may permit an employe to be advanced one (1) additional step in the

range if advancement to the next highest rate above the rate originally received results in a pay increase of less than twenty-one cents (\$0.21) per hour.

195 (2) The director of human resources may approve the request of any department head to 196 advance a promoted employe or incumbent of a reclassified position one (1) additional step in the 197 range if the employe would have advanced in the classification from which they were promoted to 198 the same rate of pay within ninety (90) days of the promotion. The decision of the director may be 199 appealed to the committee on personnel within thirty (30) days of notice. The decision of the 200 county board on the committee recommendation, subject to review by the county executive, shall 201 be final.

- 202 (3) Department heads:
- (a) Who have adopted the annual performance appraisal system revised in 1986 and
 approved by the director of human resources may advance an employe who has exhibited
 exemplary performance up to two (2) steps in the pay range providing the director has
 verified that the performance evaluation system has been implemented in the appropriate
 manner. Such advancements shall be implemented in accordance with subsection (4) of this
 section.
- (b) May request an advancement in the pay range for an employe who holds a position
 which is critical to the operation of their department if the request is necessary to retain the
 employe in county service. The request may be implemented upon approval of the director,
 in accordance with subsection (4) of this section.
- (c) In subsections (a) and (b) above the decision of the director of human resources may
 be appealed to the committee on personnel within thirty (30) days of notice. The decision of
 the county board on the committee's recommendation, subject to review by the county
 executive, shall be final and shall be implemented the first day of the first pay period following
 review by the county executive, or in the event of a veto, final county board action.
- (4) Monthly while any advancements within a pay range requested by departments, pursuant to 218 subsections (3)(a) and (3)(b) are pending, the director of human resources shall provide a report 219 to all county board supervisors no later than 14 days prior to the finance, personnel and audit 220 committee meeting to the committee on personnel which lists all such advancements which the 221 director intends to approve, along with a fiscal note for each. This report shall be distributed to all 222 223 county supervisors and may, at the discretion of the committee chair, be placed on the committee agenda for informational purposes. If a county supervisor notifies the committee chair of an 224 objectionobjects to the decision of the director prior to the meeting establishing the committee 225 226 agenda, within seven (7) working days of receiving this report the advancement shall be held in abeyance until resolved by the county board, upon recommendation of the committee, and 227 228 subsequent county executive action. If no county supervisor objects, the advancement shall be implemented the first day of the first pay period following the meeting of the committee. In the 229 230 event the county board takes no action on an advancement, after receipt of a recommendation 231 from the committee, the advancement shall be implemented the first day of the first pay period 232 following action by the county executive or, in the event of a veto, final county board action.

(5) From January 1, 2010 through December 31, 2011, notwithstanding any other provisions of
 this code, incumbents of a position not represented by a collective bargaining unit who would have
 received an advance in the pay range upon the meritorious completion of two thousand eighty

(2,080) hours, shall be advanced to the next highest rate of pay in the pay range provided for the
classification only upon meritorious completion of an additional four thousand one hundred sixty
(4,160) straight-time hours for full-time positions, and a prorated fraction thereof for employees
whose scheduled work week is less than forty (40) hours or who began employment after January
1, 2010. The intent of this section is to temporarily suspend incremental salary advancements for
non-represented employees for 2010 and 2011, consistent with the terms of the 2010 and 2011
Adopted Budgets.

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SECTION 6. Section 17.16 (1)(b) of the General Ordinances of Milwaukee County is amended as follows:

246 **17.16. - Overtime compensation.**

This section shall be applied in the following manner, and consistent with collective bargaining agreements and state and federal regulations:

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250 (b) Employees who are not represented by a collective bargaining unit shall be 251 compensated for overtime as follows: employees holding positions which are non-exempt 252 from the Fair Labor Standards Act shall receive time and one-half for all hours worked over forty (40) hours per week regardless of the pay range to which the position held is assigned. 253 Employees holding a position exempt from the Fair Labor Standards Act who are not in an 254 executive classification shall be compensated for overtime for all hours worked in excess of 255 256 forty (40) hours in a week on a straight time basis and may only liquidate accrued overtime as compensatory time off unless approved by the DAS-director of human resources who 257 shall also provide the personnel committeecounty board of supervisors with quarterly reports 258 of all overtime that is paid rather than used as compensatory time off. Such reports may, at 259 the discretion of the finance, personnel and audit committee chair, be placed on the 260 261 committee agenda.

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263 **SECTION 7.** Section 17.23 of the General Ordinances of Milwaukee County is amended as 264 follows:

265 **17.23. - Dual employment.**

266 No person holding employment with the county in the classified or unclassified service under the 267 provision of chapter 17 of the Code, or ch. 63, Wis. Stats., shall be employed in more than one (1) classification or hold more than one (1) position unless approved by the director of human resources. 268 The decision of the director may be appealed to the committee on personnel within thirty (30) days of 269 notice. The decision of the county board on the committee's recommendation, subject to review by the 270 271 county executive, shall be final and shall be implemented the first day of the first pay period following review by the county executive. A monthly report listing all persons holding dual appointments shall be 272 prepared by the department of human resources and forwarded to the committeecounty board of 273 274 supervisors. Such reports may, at the discretion of the finance, personnel and audit committee chair, 275 be placed on the committee agenda, for informational purposes.

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SECTION 8. Section 17.265 of the General Ordinances of Milwaukee County is amended as
 follows:

279 **17.265. - Executive compensation plan.**

All employes of the county who hold positions considered to be managerial shall be compensated in accordance with the provisions of the executive compensation plan. The following definitions and policies, shall be utilized to maintain the executive compensation plan and ensure that all management positions are identified and compensated in a consistent and equitable manner.

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285 (3) The salary rate steps of each ECP Grade shall be adjusted annually by a general increase to reflect job market trends for management positions upon adoption of a resolution by the county board. 286 Upon implementation of such general increase, the rate received by incumbents of ECP positions shall 287 be adjusted to reflect the general increase. A performance evaluation of each employe holding an ECP 288 289 position shall be forwarded to the director of human resources no later than March 31 of each calendar 290 year. The performance evaluation shall be for employe performance during the prior calendar year, and 291 shall be conducted in accordance with procedures distributed by the director of human resources. If the 292 performance evaluation completed by their appointing authority meets the criteria for such 293 advancement, incumbents of ECP positions shall be advanced to the next highest rate step in the 294 respective ECP Grade effective on the first day of the pay period which includes April 1. An appointing authority may delay the implementation of the advancement to the next highest rate step by six (6) or 295 twelve (12) pay periods if he/she deems such delay appropriate based on the employe's performance 296 297 evaluation. New appointees to an ECP position shall not be eligible for advancement to the next highest rate step in the ECP Grade until completion of one year of service and completion of a performance 298 299 evaluation which indicates that he/she meets the requirements for such advancement as determined by 300 the appointing authority, with such advancement not being effective prior to April 1 in any calendar 301 year. ECP employes receiving the maximum rate step for the respective ECP Grade, shall be eligible 302 for a performance award of up to four (4) percent of their annual salary, based on the evaluation of their performance in the prior calendar year by their appointing authority in accordance with instructions 303 304 distributed by the director of human resources. A performance award shall be issued as a separate 305 payment, and shall not be added to the recipient's bi-weekly salary but shall be included in the 306 calculation of final average salary for pension calculation purposes. A performance award shall be 307 processed as soon as possible after forwarding of an appropriate recommendation to the director of human resources, but no earlier than May 1 in any given calendar year. No employe compensated 308 309 under this section shall advance to the next rate step or receive a performance award unless an appropriate performance evaluation recommending such advancement has been received by the 310 311 director of human resources. The director, department of human resources shall annually provide an 312 informational report to the county board personnel committee of supervisors summarizing the results of the performance evaluation process. Such report may, at the discretion of the finance, personnel and 313 314 audit committee, be placed on the committee agenda. Notwithstanding the foregoing provisions, the county board of supervisors may determine, by a vote of the county board prior to March 31 of each 315 year, that no ECP employe shall advance to the next highest rate step or shall be issued a performance 316 317 award in that calendar year.

318 (4) The director of human resources shall ensure that performance evaluation information and 319 materials are provided to department heads annually, and shall assist department heads and 320 appointing authorities in the development of appropriate criteria to be utilized in the evaluation of the 321 performance of ECP employes.

(5) Upon adoption of this ordinance, all positions in the executive compensation plan shall remain in the currently assigned ECP Grade. Incumbents shall have their respective salary adjusted to the rate step closest to, but not lower than, the salary received prior to adoption of this ordinance. Incumbents receiving a salary greater than the revised maximum of the ECP Grade shall not have their salary rate adjusted until the maximum step of the revised range exceeds their salary, but shall be eligible for the annual performance award.

328 (6) The director of human resources, or the appropriate appointing authority, may initiate a review of 329 any position to determine if it should be included in, or deleted from, the ECP; or to determine if an existing ECP position is assigned to the proper management level and ECP Grade. All such reviews 330 shall be conducted by the director of human resources and any revision to the management level or 331 ECP Grade to which a position is assigned shall be reported to the county board personnel 332 333 committee of supervisors in the same manner as a reclassification of an existing position as included in 334 the provisions of section 17.05 of the county ordinances. Except as may otherwise be noted in this 335 section, all other provisions of sections 17.05 and 17.10 of the county ordinances shall apply to any position included in the ECP. 336

(7) A new appointee to a position in the executive compensation plan may be appointed at any rate step in the ECP Grade to which the position is assigned. The director of human resources shall provide an informational report to the <u>personnel committeecounty board of supervisors</u> listing all new ECP appointments at rate step 6 or above. All new appointees to an ECP position in the classified service shall serve a probationary period of one year.

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343 **SECTION 9.** The provisions of this ordinance shall become effective upon passage and 344 publication.

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