



Community Business Development Partners
MILWAUKEE COUNTY

RICK NORRIS, PE • Director, DBE Liaison Officer, ACDBE Liaison Officer

INTER-OFFICE COMMUNICATION

DATE: August 20, 2014

TO: Supervisor Marina Dimitrijevic, Chair, Milwaukee County Board of Supervisors
Supervisor Patricia Jursik, Chair, Economic & Community Development Committee
Supervisor Michael Mayo, Sr., Chair, Transportation, Public Works & Transit Committee

FROM: Rick Norris, PE, Director, Community Business Development Partners

SUBJECT: **DBE Wavier Report for June 2014**

DIRECTIVE

At the request of the Committee on Economic and Community Development, the Community Business Development Partners Department (CBDP) provides a monthly update on the Disadvantaged Business Enterprise (DBE) utilization waivers requested by, and granted to, Milwaukee County departments/divisions.

BACKGROUND

CBDP is responsible for designing, implementing, monitoring and enforcing Milwaukee County's DBE Program in order to maintain compliance with Federal Regulations and Milwaukee County Ordinances. Implementation of the Program includes assignment of participation goals on, both, Federal and County funded contracts, as well as monitoring and enforcing compliance of these contracts. Participation goals may only be established on contracts where opportunities exist for ready, willing and able certified firms to perform commercially useful functions related to the satisfaction of those contracts.

In 1999, the United States Department of Transportation (USDOT) implemented DBE Program rules with seven (7) key objectives directed at creating a level playing field on which certified firms could compete fairly for USDOT-assisted contracts. This legislation, 49 CFR Parts 23 and 26, requires all recipients of USDOT funds to establish and maintain a DBE program that, not only, complies with the intent and language of the legislation, but that has also been reviewed and approved by USDOT. As a result of public and private stakeholder input, Milwaukee County determined and approved, by action of the County Executive and the full County Board, to establish and maintain a program based upon the Federal DBE Program rules and standards for all of its contracts. This action designed to ensure the same level of commitment and consistency in approach to the facilitation of small business involvement when and where appropriate has been enacted in Chapter 42 of the Milwaukee County Code of General Ordinances.

Milwaukee County is required to provide and establish contract opportunities for certified firms on its projects based upon the number of ready, willing and able firms certified to perform within the scope(s) of each of these projects. Only firms certified through Wisconsin's Unified Certification Program (UCP), a consortium of over 24 municipalities and agencies throughout the State, count as ready, willing and able firms for this purpose. Four of the UCP members serve as certifying partners for the consortium, Milwaukee County, WisDOT, Dane County, and the City of Madison. Milwaukee County has the responsibility of verifying and maintaining the certification status of 356 of the 854 currently certified firms throughout the State, while processing all new applications for DBE certification.

WAIVER REQUESTS

When CBDP receives a waiver request from a department/division, staff thoroughly reviews it and available supporting documentation before rendering a determination. The Director may require staff to gather more comprehensive information or to provide more detailed clarification regarding any identified issues prior to issuing a determination.

WAIVER REPORT SUMMARY

The figures below include Professional & Management Service and Capital Improvement/Maintenance contracts awarded during June of 2014. This report does not include contracts awarded by the Procurement Division of the Department of Administrative Services processes under Chapter 32. Please see the attachment for waivers requested as broken out by owner department, contractor/consultant awarded, scope of services rendered, total contract amounts, and reason for approval.

Total Contracted Dollars for Period	\$ 10,244,969
Total Contracted Dollars w/o DBE Participation	\$ 499,555
Percentage of Contracts w/o DBE Participation	4.88%
Total Contracted Dollars w/ Waiver Approval	\$ 46,503
Percentage of Contracts w/ Waiver Approval	13.62%
Total Contracted Dollars w/o Waiver Approval	\$ 0.00
Percentage of Contracts w/o Waiver Approval	0.0%

It is also important to note that the Milwaukee County Code of General Ordinances exempts various contracts from DBE participation consideration review for services such as those used for the purpose of securing credit rating services related to debt issuance and administration. These exemptions appear as Chapter 56.30(2)(a) and 56.30(10)(a).

Total Contracted Dollars for Period	\$ 10,244,969
Total Exempted Contract Dollars	\$ 453,052
Percentage of Exempted Contracts for Period	4.22%

RECOMMENDATION

CBDP prepared this informational report, and recommends that it be received and filed, as such.

Approved by:


 Rick Norris, PE
 Director, CBDP

CC: Chris Abele, Milwaukee County Executive

Monthly Waiver Report for June 2014

Prepared by the Department of Community Business Development Partners

Director's Report

¹ These contracts are exempted from Disadvantaged Business Enterprise participation review within the guidelines of Code of General Ordinance Chapter 56.30(2)(a). Not included in the the waiver calculations.

² Waivers approved by CBDP; within guidelines of Code of General Ordinances

³ Contracts issued by Departments in violation of the Code of General Ordinances; CBDP is made aware of these projects when Accounts Payable forwards new contract information.

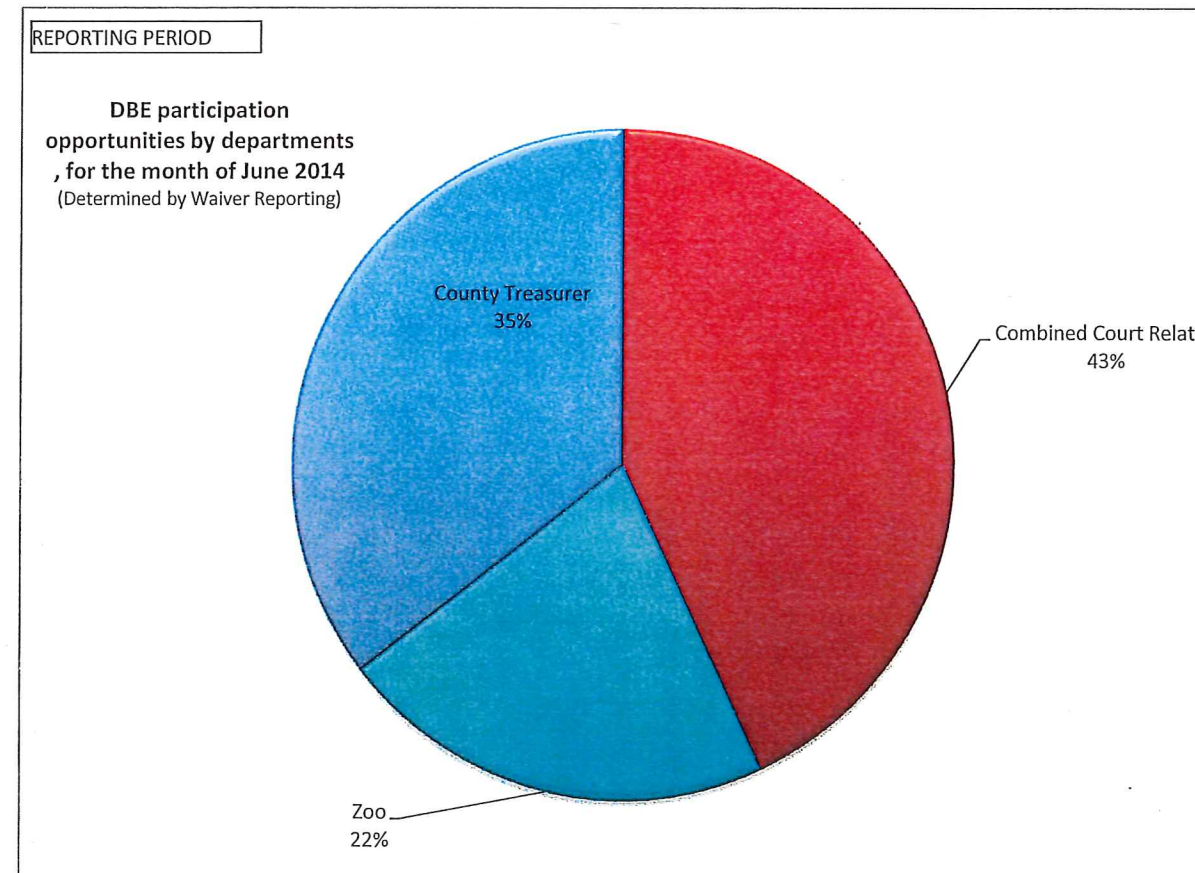
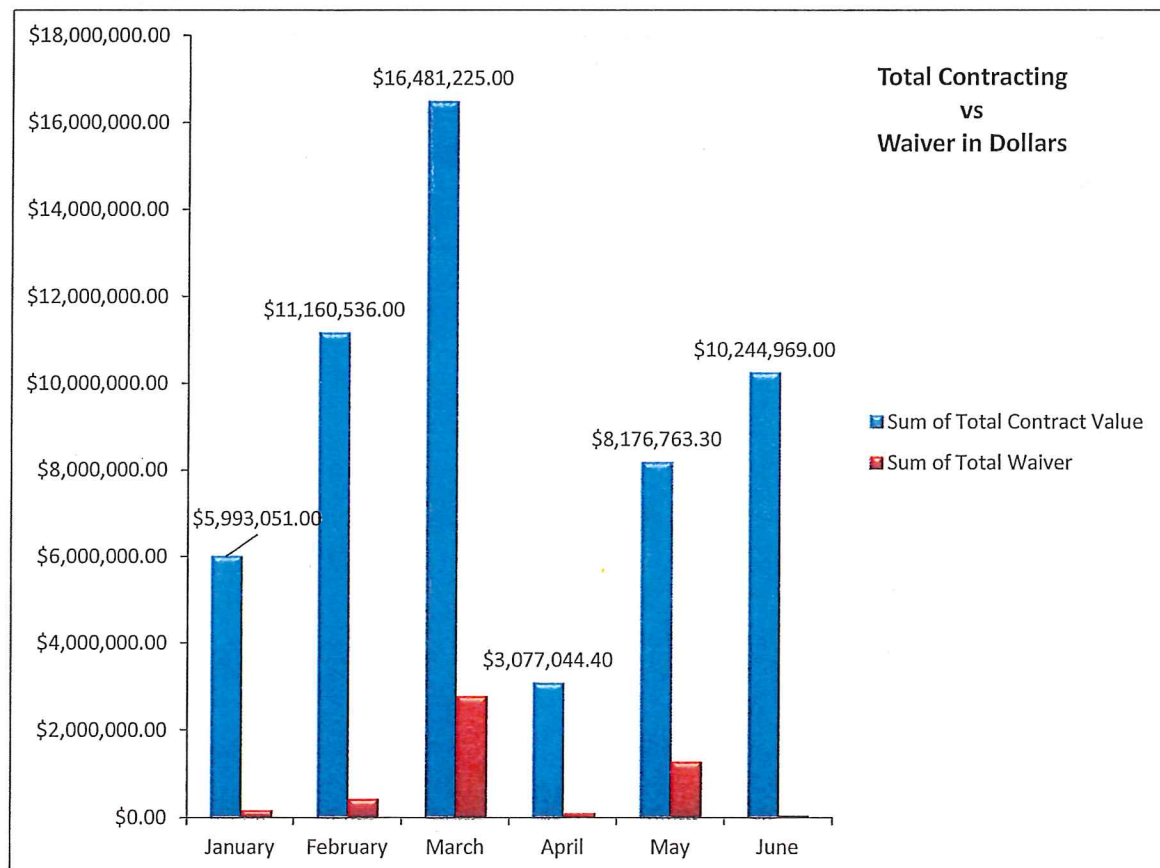
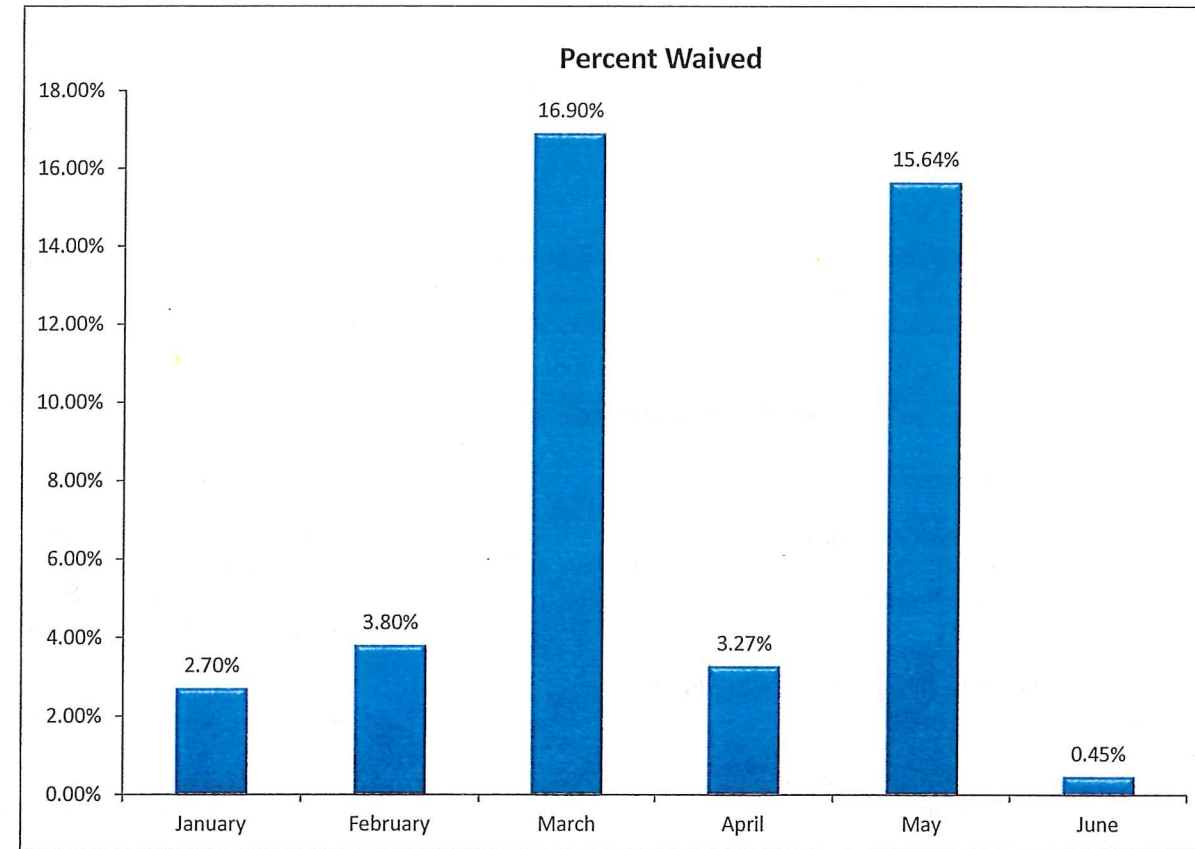
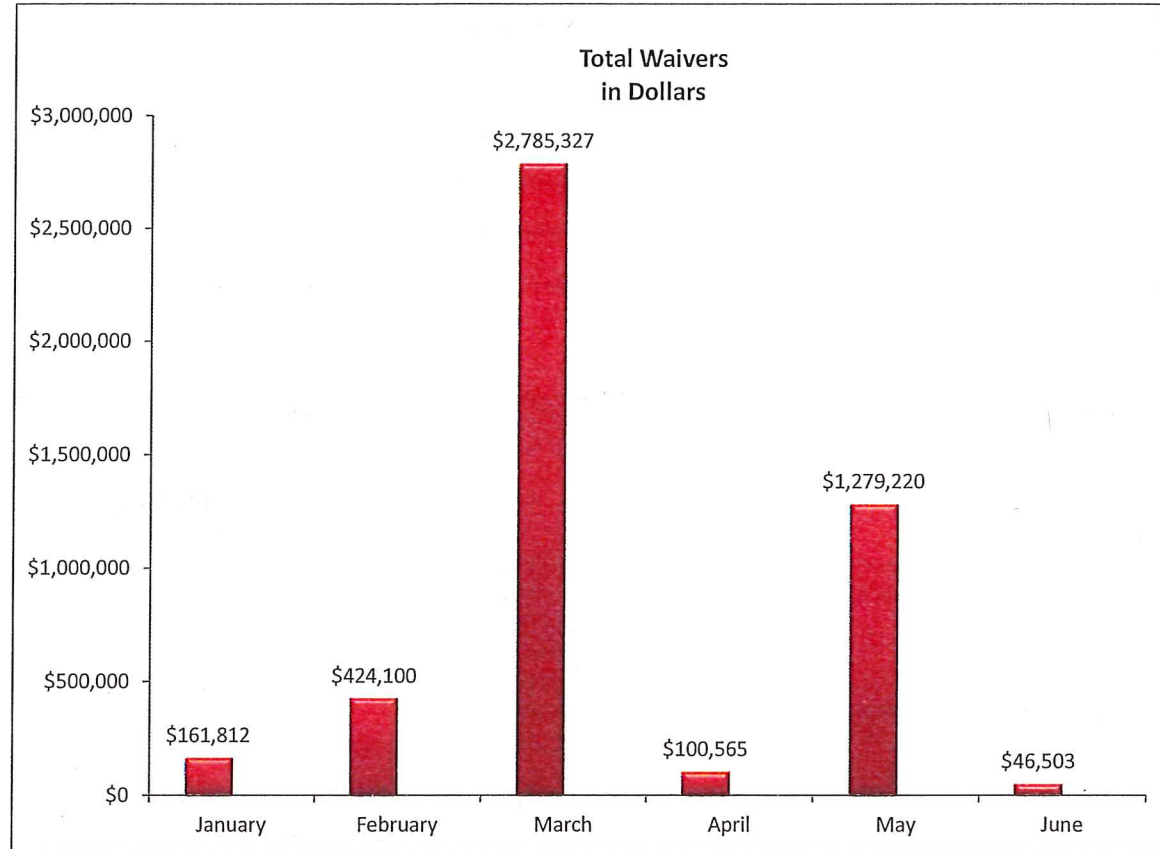
⁴ Total does not include Procurement Division Figures

Total Contract \$ Amount for Period ⁴	\$ 10,244,969
Total Contract \$ Amount w/o DBE Participation for Period	\$ 499,555
Percentage w/o DBE Participation	4.88%
Total Approved Waiver \$ Amount ²	\$ 46,503
Percentage Waived ²	0.45%
Total Unapproved Waiver \$ Amount ³	\$ -
Percentage w/o Waiver Approval ³	0.00%
Total Exempted \$ Amount	\$ 453,052
Percentage Exempted from Waiver Calculations	4.42%

REPORTING Ending PERIOD	June 2014
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DEPARTMENT	CONSULTANT/CONTRACTOR	SERVICES	COMMENTS	EXEMPTED	Waived
Parks	Giles Engineering	Tennis Court Lighting Soil Borings	Only one DBE firm, requires at least two DBE firms	\$ 6,540.88	
	Not awarded at this time	Grant Park Beach House Lift Station Haz Mat	Only one DBE firm, requires at least two DBE firms	\$ 500.00	
Combined Court Related	Metro Milwaukee Foreclosure Mediation Services, Inc.	To prevent foreclosures & allow the homeowner to remain in their home	Specialized Service	\$ 169,828.00	
	The Carey Group, Inc.	Consulting services to assist Fokus Family Services & MC Day Reporting Center	Specialized Service		\$ 20,000.00
DHHS	UMOS, Inc.	Case management AODA specialist	Specialized Service	\$ 50,000.00	
	Northcott Neighborhood House, Inc.	Case management AODA specialist	Specialized Service	\$ 61,000.00	
House of Correction	Milwaukee Area Technical College (MATC)	Learning Center	Specialized Service	\$ 109,683.00	
Zoo	Schultz & Williams	To develop a Request for Proposal for Zoo's food catering and retail operations	Specialized Service		\$ 10,000.00
			Only one DBE firm, requires at least two DBE firms	\$ 46,500.00	
MCTS	Privostt, Muncie, Newflyer	Bus Bearings Bushings, & Seals			
County Treasurer	Public Funds Consulting, LLC	Investment advisory services redistribution of \$503.00 of another advisor	Specialized Service		\$ 16,503.00
			Only one DBE firm, requires at least two DBE firms	\$ 9,000.00	
MCDOT	Not awarded at this time	CTH Y Pennsylvania & Whitnall Hazardous Material Assessment		\$ 9,000.00	
Grand Total				\$ 453,051.88	\$ 46,503.00

DASHBOARD





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Total Contracted Dollars for Period	\$ 12,608,128
Total Contracted Dollars w/o DBE Participation	\$ 11,331,532
Percentage of Contracts w/o DBE Participation	89.87%
Total Contracted Dollars w/ Waiver Approval	\$ 1,149,093
Percentage of Contracts w/ Waiver Approval	9.11%
Total Contracted Dollars w/o Waiver Approval	\$ 222,588
Percentage of Contracts w/o Waiver Approval	1.77%

It is also important to note that the Milwaukee County Code of General Ordinances exempts various contracts from DBE participation consideration review for services such as those used for the purpose of securing credit rating services related to debt issuance and administration. These exemptions appear as Chapter 56.30(2)(a) and 56.30(10)(a).

Total Contracted Dollars for Period	\$ 12,608,128
Total Exempted Contract Dollars	\$ 9,959,851
Percentage of Exempted Contracts for Period	79.00%

RECOMMENDATION

CBDP prepared this informational report, and recommends that it be received and filed, as such.

Approved by:


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 Director, CBDP

CC: Chris Abele, Milwaukee County Executive

Monthly Waiver Report for July 2014

Prepared by the Department of Community Business Development Partners

Director's Report

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Total Approved Waiver \$ Amount ²	\$ 1,149,093
Percentage Waived ²	9.11%
Total Unapproved Waiver \$ Amount ³	\$ 222,588.00
Percentage w/o Waiver Approval ³	1.77%
Total Exempted \$ Amount	\$ 9,959,851
Percentage Exempted from Waiver Calculations	79.00%

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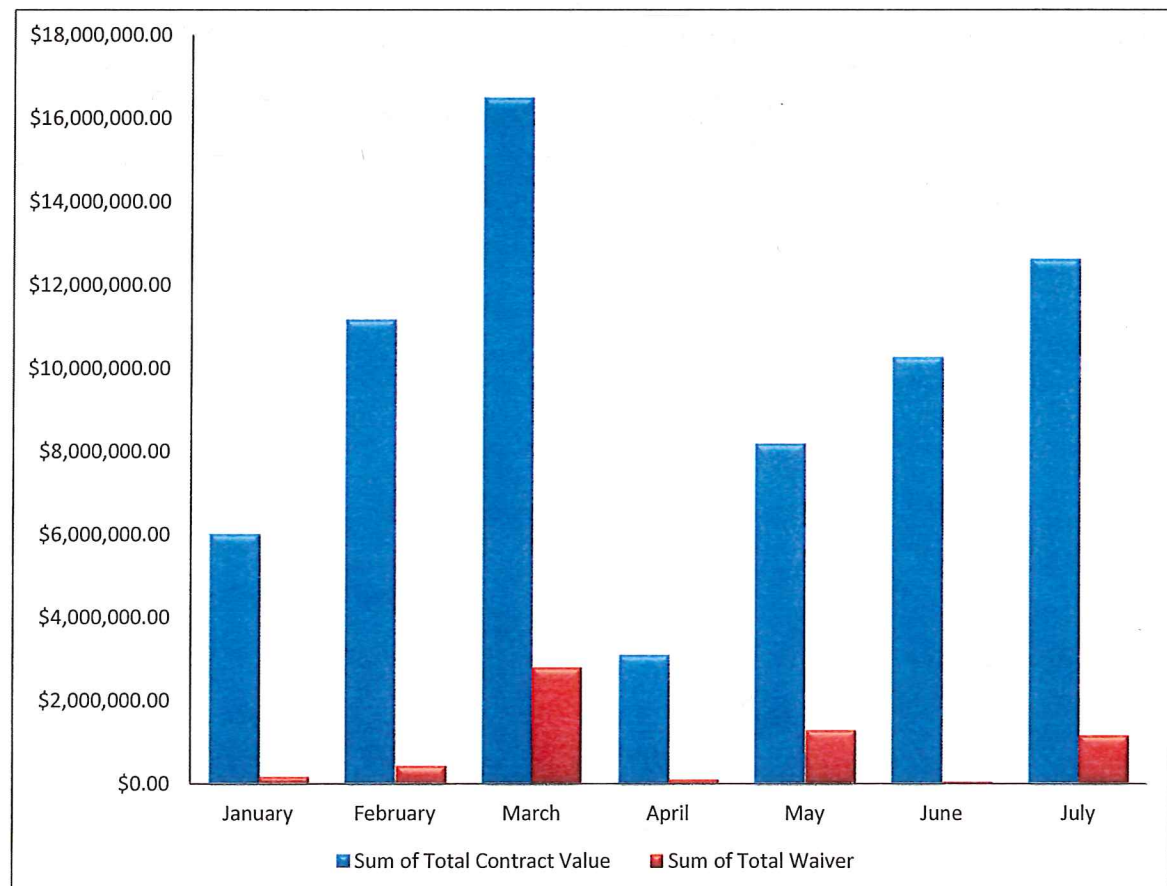
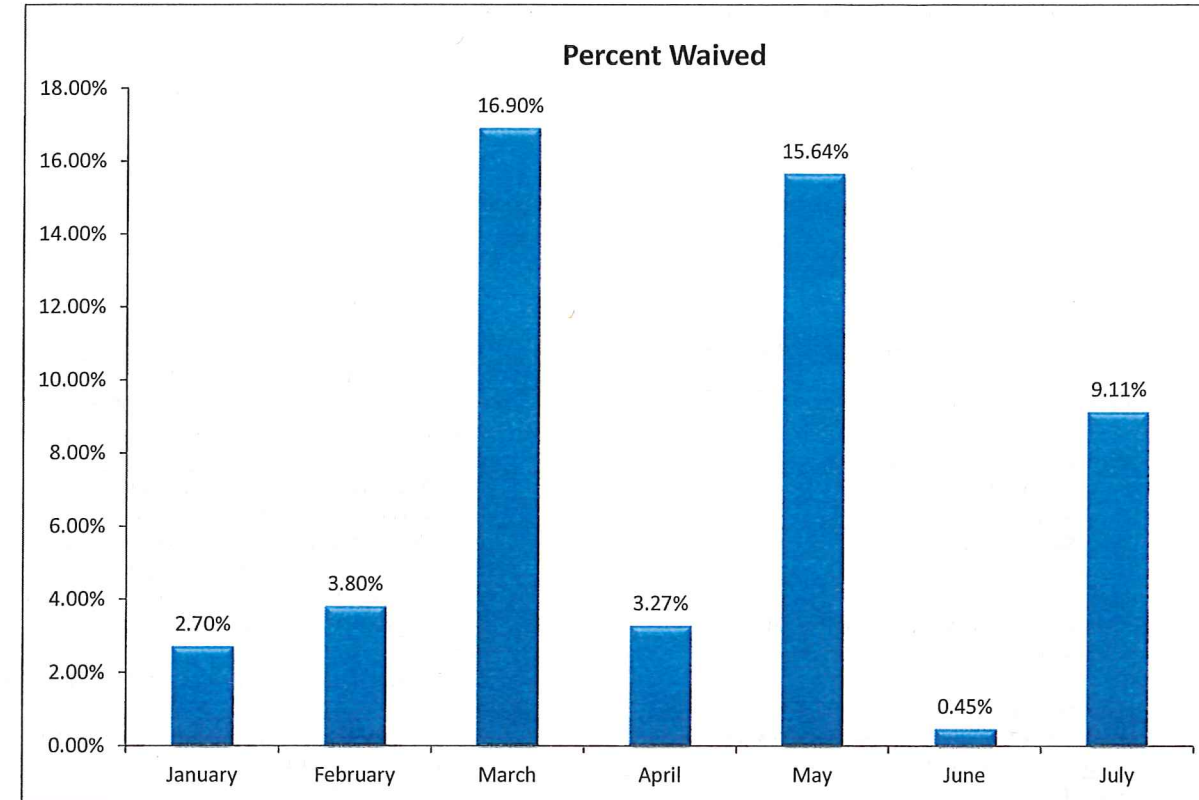
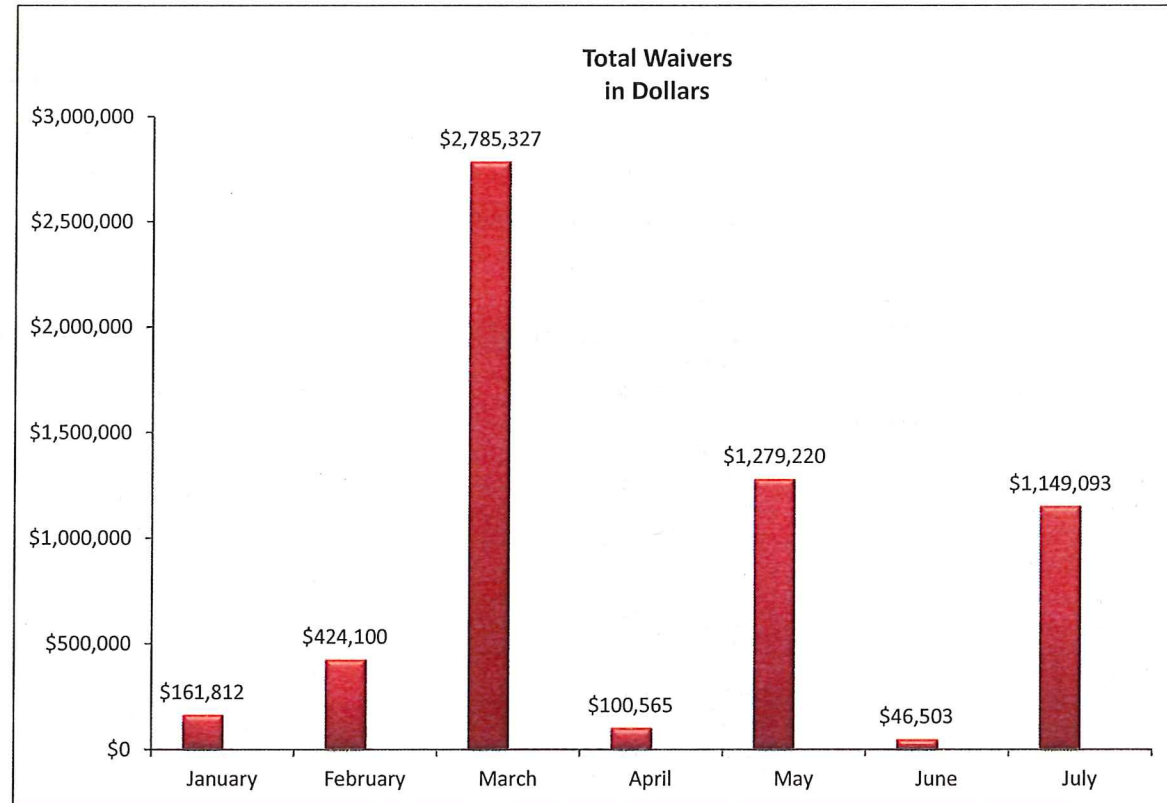
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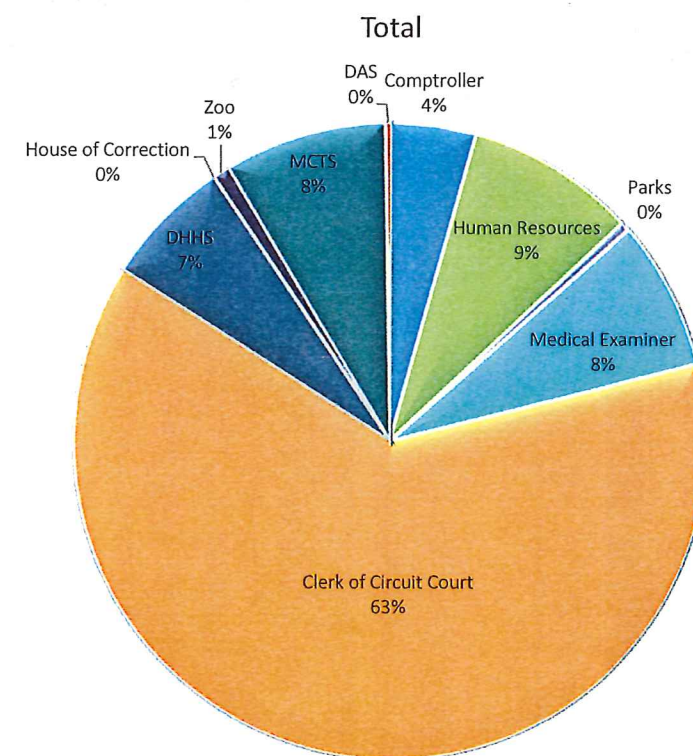
REPORTING Ending PERIOD	July 2014
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DEPARTMENT	CONSULTANT/CONTRACTOR	SERVICES	COMMENTS	EXEMPTED	Waived	Unapproved Waivers
Comptroller	US Bank	Administration fees for the 2005A&B, 2006A&B, 2007A, 2009A airport revenue bonds	Exempted	\$ 4,500.00		
	Quarles & Brady, LLP	In connection with advice regarding the IRS examination of series 2005A airport revenue bonds	Specialized Service	\$ 3,031.25		
	Kirchner Computer Consulting Inc.	To act as project manager to ensure successful planning and execution to upgrade the County's time and attendance service	Specialized Service	\$ -	\$ 49,600.00	
District Attorney	Dr. Deborah Collins	To evaluate Malcolm Jamal Wright in court case number 13CF005604	Specialized Service	\$ 1,000.00		
Human Resources	Chuck Schumacher	To conduct discharge and/or discipline hearings per the Civil Service Commission	Specialized Service	\$ 3,000.00		
	Omar Sultan Mallick Attorney	To conduct discharge and/or discipline hearings per the Civil Service Commission	Specialized Service	\$ 3,000.00		
	Personnel Specialist, Ltd.	PST will serve as an search firm for high level positions that's hard to fill in MC	Specialized Service		\$ 99,999.00	
Parks	KSA	Grant Park Beach House Lift Station Review Design Plans	Under \$10K		\$ 5,000.00	
Medical Examiner	Medical College of WI Affiliated Hospitals	Forensic Pathology Fellowship Program	Specialized Service		\$ 88,000.00	
Clerk of Circuit Court	Wisconsin Department of Children & Families	Permanency plan review	Specialized Service		\$ 722,994.00	
DHHS	Center for Communication, Hearing & Deafness	The B-3 program is a federally mandated program to support families of children with delays or disabilities	Not Reviewed by CDBP	\$ -		\$91,588.00
	Adolescence Mentoring Educational Network, LLC	To provide services to support a community-based, volunteer mentoring program	Specialized Service	\$ 36,000.00		
	Silver Spring Neighborhood Center, Inc.	Case management AODA specialist	Not Reviewed by CDBP	\$ -		\$61,000.00
	Meta House	Case management AODA specialist	Not Reviewed by CDBP	\$ -		\$65,000.00
	Midland Video Productions, Inc.	Specialized training videos	Specialized Service		\$ 75,000.00	
Airport	John J. DeRosia, Ph.D., P.E.	Review case of Robin Darden v. GMIA	Not Reviewed by CDBP	\$ -		\$5,000.00
House of Correction	Armor Correctional Health Services, Inc.	Court ordered contract to provide medical services to inmate at County Jail and HOC	May 2013 Court Order, Staffing	\$ 9,909,320.00	\$ -	
Zoo	Schultz & Williams	To assist the Zoo in developing a request for proposal for the Zoo's food catering and retail operations	Specialized Service		\$ 10,000.00	
MCTS	Not awarded, Bids due 8/28	Diesel Particulate Cleaning Equipment	Only one DBE, requires at least two DBE firms		\$ 95,000.00	
DAS	Grumman-Butkus	Lapham Remodel Arc Flash Study	Specialized Service		\$ 3,500.00	
Grand Total				\$ 9,959,851.25	\$ 1,149,093.00	\$222,588.00

DASHBOARD



REPORTING PERIOD



DBE participation opportunities by departments, for the month of July 2014 (Determined by Waiver Reporting)